

SENNIGER, POWERS, LEAVITT & ROEDEL

FRANK R. AGOVINO DERICK E. ALLEN ROBERT M. BAIN IAMES I. BARTA, IR. G. HARLEY BLOSSER JOHN M. BODENHAUSEN RICHARD L. BRIDGE JAMES E. BUTLER, PH.D SARAH J. CHICKOS I. BENNETT CLARK JENNIFER B. COOK DAVID E. CRAWFORD, JR. MATTHEW L. CUTLER JAMES E. DAVIS KATHRYN J. DOTY ROBERT M. EVANS, IR. PAUL I.J. PLEISCHUT MICHARL R. GODAR CHRISTOPHER M. GOFF DAVID W. HARLAN

JAMES D. HARPER

Hin dim

1

ij

= =

EDWARD J. HEJLEK KAREN Y. HUI KURT F. JAMES VINCENT M. KEIL ANTHONY R. KINNEY BRIAN P. KLEIN WILLIAM E. LAHEY PAUL A. MADDOCK JULIE J. McMURRY MICHAEL G. MUNSELL DEBRA D. NYE WILLIAM D. O'NEILL KATHLEEN M. PETRILLO LAURA R. POLCYN KEITH A. RABENBERG STEVEN M. RITCHEY JOHN K. ROEDEL, JR. RICHARD A. SCHUTH MEG MARSHALL THOMAS MICHAEL J. THOMAS DONALD W. TUEGEL

ONE METROPOLITAN SQUARE
16TH FLOOR
ST. LOUIS, MISSOURI 63102
314-231-5400

January 11, 2001

Box/sea

FACSIMILE 314-231-4342 http://www.senniger.com

PATENTS, TRADEMARKS, COPYRIGHTS

AND RELATED MATTERS

OF COUNSEL
IRVING POWERS
DONALD G. LEAVITT
RICHARD G. HEYWOOD

STUART N. SENNIGER (1921-1997)

UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: UNL 2999.01 First Named Inventor: Raul G. Barletta

Title: IDENTIFICATION OF VIRULENCE DETERMINANTS

Express Mail Label Number: EL 757703425US

TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231

APPLICATION ELEMENTS

- [x] Fee Transmittal Form (original and duplicate)
- 2. [x] Applicant claims small entity status
- 3. [X] Specification [Total Pages 34]
- 4. [x] Drawings [Total Sheets 2]
- 5. Oath or Declaration [Total Pages 4]
 - a. [x] Newly executed (original or copy)
 [] New (unexecuted)
 - b. [] Copy from a prior application (for continuation/divisional with Box 19 completed)
 - i. [] DELETION OF INVENTOR(s)
 Signed statement attached
 deleting inventor(s) named
 in prior application.

18.

[] Other: ____

- 6. [] Incorpotion By Reference (useable of Box 5b is marked)

 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
 7. [X] Application Data Sheet
- 8. [] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 9. [x] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [x] Computer Readable Form
 - b. [] Specification Sequence Listing on:i. [] CD-ROM or CD-R (2 copies); or
 - ii. [x] paper
 - c. [x] Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

Assignment Papers (cover sheet & document(s)) 10. [] [] 37 CFR 3.73(b) Statement [] Power of Attorney 11. 12. [] English Translation Document (if applicable) [] IDS with PTO-1449 [] Copies of IDS Citations 13. 14. [] Preliminary Amendment Return Receipt Postcard 15. [X] Request and Certification for Non-Publication. Form 16. [] PTO/SB/35 is attached. Certified Copy of Priority Document(s) if foreign 17. priority is claimed

IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

- 19. [] Continuation [] Divisional [] Continuation-in-Part of prior application No.:
 - [x] Complete Application based on provisional Application No. 60/175,433

Prior application information: Examiner:NA Group Art Unit: NA



CORRESPONDENCE ADDRESS



20. Correspondence Address: Customer Number 321 Attention: James E. Butler

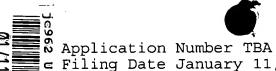
Respectfully submitted,

James E. Butler, Reg. No. 40,931

JEBskg

H. Healt Load Hear, Man, at Hou, H. H.

= =



FEE TRANSMITTAL

Filing Date January 11, 2001 of Confirmation No. TBA

First Named Inventor Raul G Barletta et al.

Group Art Unit TBA Examiner Name TBA

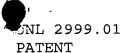
Attorney Docket Number UNL 2999.01

METHOD OF PAYMENT

| 1. | [] | The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345. |
|----|-----|--|
| | [] | The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345. |
| | [] | Applicant claims small entity status |
| 2. | [x] | Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345. |
| | | FEE CALCULATION |
| 1. | [x] | BASIC FILING FEE Subtotal (1) \$ 355.00 (Type: non provisional) |
| 2. | [x] | EXTRA CLAIM FEES Subtotal (2) \$ 510.00 |
| | | Total Claims <u>53</u> Independent Claims <u>9</u> Multiple Dependent Claims |
| 3. | [] | ADDITIONAL FEES Subtotal (3) \$ |
| | | <pre>[] Surcharge - late filing fee or oath [] Surcharge - late provisional filing fee or cover sheet [] Extension for reply within month [] Notice of Appeal [] Filing a Brief in Support of an appeal [] Request for ex parte Reexamination [] Petitions to the Commissioner [] Submission of Information Disclosure Statement [] Recording each patent assignment per property [] Request for Continued Examination [] Other:</pre> |

TOTAL AMOUNT OF PAYMENT \$ 865.00

Reg. No. 40,931 JEBskq



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Raul G. Barletta et al.

Serial No.: To Be Assigned

Filed: Herewith

For: IDENTIFICATION OF VIRULENCE DETERMINANTS

January 11, 2001

STATEMENT UNDER 37 C.F.R. 1.821(f)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS Sir:

In accordance with 37 C.F.R. 1.821(f), I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted in support of the present application.

Respectfully submitted,

Jennifer L. Wagner, Paralegal

SENNIGER, POWERS, LEAVITT & ROEDEL

One Metropolitan Square, 16th Floor

St. Louis, MO 63102

(314) 231-5400

Express Mail No. EL 757703425US